



Department of Defense

DIRECTIVE

NUMBER 5124.02
October 17, 2006

DA&M

SUBJECT: Under Secretary of Defense for Personnel and Readiness (USD(P&R))

- References:
- (a) Title 10, United States Code
 - (b) DoD Directive 5124.2, "Under Secretary of Defense for Personnel and Readiness," February 11, 2006 (hereby canceled)
 - (c) Deputy Secretary of Defense Memorandum, "Personnel and Readiness-Related DoD Issuances," October 17, 2006 (hereby canceled)
 - (d) DoD Directive 1322.18, "Military Training," September 3, 2004
 - (e) through (n), see Enclosure 1

1. REISSUANCE AND PURPOSE

Under the authority vested in the Secretary of Defense by sections 113 and 136 of Reference (a), this Directive:

1.1. Reissues Reference (b) to update the responsibilities, functions, relationships, and authorities of the Under Secretary of Defense for Personnel and Readiness (USD(P&R)) and supersedes Reference (c).

1.2. Authorizes the USD(P&R) to:

1.2.1. As a Principal Staff Assistant (PSA) reporting directly to the Secretary of Defense, promulgate DoD policy in DoD Instructions within the responsibilities, functions, and authorities assigned herein.

1.2.2. Reissue, as necessary, the chartering DoD Directives for the Principal Deputy Under Secretary of Defense for Personnel and Readiness (PDUSD(P&R)), the Assistant Secretary of Defense for Health Affairs (ASD(HA)), and the Assistant Secretary of Defense for Reserve Affairs (ASD(RA)).

2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the “DoD Components”).

3. DEFINITIONS

3.1. Armed Forces. Refers to the Army, Navy, Air Force, Marine Corps and Coast Guard.

3.2. Reserve Components. Refers collectively to the Army National Guard of the United States, the Army Reserve, the Navy Reserve, the Marine Corps Reserve, the Air National Guard of the United States, the Air Force Reserve, and the Coast Guard Reserve, when the Coast Guard is operating as a Service of the Department of the Navy.

3.3. Total Force. The organizations, units, and individuals that comprise the Department of Defense's resources for implementing the National Security Strategy. It includes DoD Active and Reserve military personnel, military retired members, DoD civilian personnel (including foreign national direct- and indirect-hire, as well as nonappropriated fund employees), contractor personnel, and host-nation support personnel.

4. RESPONSIBILITIES AND FUNCTIONS

The USD(P&R) is the PSA and advisor to the Secretary of Defense for Total Force management; National Guard and Reserve component affairs; health affairs; training; military and civilian personnel requirements; language and management; dependents education; equal opportunity; and morale, welfare, recreation, and quality-of-life matters. In this capacity, the USD(P&R) shall:

4.1. Develop policies, plans, and programs for:

4.1.1. Total Force personnel and their allocation among the DoD Components and between the Active and Reserve components to ensure efficient and effective support of wartime and peacetime operations, contingency planning, and preparedness.

4.1.1.1. Within the Defense intelligence and security Components, the Under Secretary of Defense for Intelligence (USD(I)) exercises overall supervision and policy oversight of human capital and the USD(I) develops policies associated with the Defense Civilian Intelligence Personnel System (DCIPS) in conjunction with the USD(P&R).

4.1.2. Reserve component affairs to promote the effective integration of Reserve component capabilities into a cohesive Total Force.

4.1.3. Health and medical affairs to:

4.1.3.1. Provide and maintain readiness;

4.1.3.2. Provide health services and support to members of the Armed Forces during military operations; and

4.1.3.3. Provide health services and support to members of the Armed Forces, their dependents, and others entitled to DoD medical care.

4.1.4. Recruitment, education, training, equal opportunity, compensation (to include bonuses, special pay and incentives), recognition, discipline, and separation of all DoD personnel, to include both military (Active, Reserve, and retired) and civilian. Consistent with 4.1.1.1. above, the USD(I) exercises oversight and policy responsibilities for Defense intelligence and security Components.

4.1.4.1. Perform oversight of the Defense Civilian Personnel Data System, to ensure accuracy, completeness, and timeliness of its information and data, its responsiveness as well as its effective and efficient use of modern practices and technologies.

4.1.5. Interagency and intergovernmental activities, special projects, or external requests that create a demand for DoD personnel resources. With respect to such matters affecting personnel in intelligence positions, the USD(P&R) shall coordinate with USD(I).

4.1.6. Readiness to ensure forces can execute the National Military Strategy; oversight of military training and its enablers under DoD Directive 1322.18 and DoD Directive 3200.15 (References (d) and (e)); oversight of Total Force personnel and medical readiness; and coordinate with other PSAs and cognizant officers in the Office of the Chairman of the Joint Chiefs of Staff and the Armed Forces on other aspects of readiness.

4.1.6.1. Perform oversight of the Defense Readiness Reporting System under DoD Directive 7730.65 (Reference (f)), to ensure accuracy, completeness and timeliness of its information and data, its responsiveness as well as its effective and efficient use of modern practices and technologies.

4.1.6.2. Establish safety and accident reduction activities to prevent accidents and injuries to military and civilian personnel as well as to contractors and visitors on our installations and facilities worldwide in accordance with the guidelines of the Defense Safety Oversight Council.

4.1.7. Quality of life for our military personnel (active duty and Reserve Components) and their families. Areas of importance are family support, counseling services, financial planning, housing, child care, military spouse employment and career opportunities, dependents education, schools, children's educational transitions, commissary and military exchange systems, support for victims of domestic violence, support during the deployment cycle, recreational opportunities, tuition assistance, and partnerships with states.

4.2. Analyze the Total Force structure as related to quantitative and qualitative military and civilian personnel requirements, utilization, readiness, and support. Administer and implement controls over military and civilian personnel strengths and compositions for all DoD Components. Establish and issue guidance to be used by all DoD Components regarding manpower management, including manpower mix criteria and DoD function codes to determine workforce mix and annual commercial activities inventories.

4.3. Serve as the Department of Defense Chief Human Capital Officer in carrying out responsibilities and functions under section 1402 of title 5, United States Code (U.S.C.) (Reference (g)).

4.4. Review and evaluate the requirements of the Defense Acquisition Board's major defense acquisition programs and proposed weapons systems for personnel, training, and readiness implications, and the implications of weapons systems maintainability for qualitative and quantitative personnel requirements and for readiness.

4.5. Formulate policy for and ensure coordination of DoD Noncombatant Evacuation Operations.

4.6. Appoint and supervise the DoD Senior Language Authority in support of the foreign language capability; and

4.6.1. Establish and oversee policy regarding the development, maintenance, and utilization of foreign language capabilities;

4.6.2. Monitor trends in the promotion, accession, and retention of individuals with critical foreign language skills; and

4.6.3. Explore innovative concepts to expand foreign language capabilities.

4.7. Develop and provide overall policy guidance for the National Security Education Program and, pursuant to sections 1901-1912 of 50 U.S.C. (Reference (h)), perform the duties to execute the Program, including coordination as needed.

4.8. Participate in those planning, programming, and budgeting activities that relate to assigned areas of responsibility.

4.9. Chair the Defense Human Resources Board.

4.10. Serve on boards, committees, and other groups pertaining to assigned functional areas and represent the Secretary of Defense on personnel, readiness, Reserve component, health, compensation, and other matters related to USD(P&R) responsibilities and functions outside of the Department of Defense.

4.11. Review assigned functions and responsibilities periodically to ensure that DoD Executive Agent responsibilities resident under the USD(P&R) or with any of the Directors of Defense Agencies or DoD Field Activities under the authority, direction, and control of the USD(P&R) are in conformance with DoD Directive 5101.1 (Reference (i)).

4.12. Ensure that P&R policies and programs are designed and managed to improve standards of performance, economy, and efficiency and that all Defense Agencies and DoD Field Activities under the authority, direction, and control of the USD(P&R) are attentive and responsive to the requirements of their organizational customers, both internal and external to the Department of Defense.

4.13. Ensure information is shared as broadly as possible except where limited by law, policy, or security classification and that data assets produced as a result of the assigned responsibilities are visible, accessible, and understandable to the rest of the Department, as appropriate, and in accordance with DoD Directive 8320.2 (Reference (j)).

4.14. Perform such other duties as the Secretary of Defense may prescribe.

5. RELATIONSHIPS

5.1. In the performance of assigned responsibilities and functions, the USD(P&R) shall serve under the authority, direction, and control of the Secretary of Defense and shall:

5.1.1. Report directly to the Secretary of Defense.

5.1.2. Coordinate and exchange information with other OSD officials, the Heads of the DoD Components, and Federal officials having collateral or related responsibilities and functions.

5.1.3. Use existing systems, facilities, and services of the Department of Defense and other Federal Agencies, when possible, to avoid duplication and to achieve maximum efficiency and economy.

5.1.4. Exercise authority, direction, and control over:

5.1.4.1. The PDUSD(P&R).

5.1.4.2. The ASD(HA).

5.1.4.3. The ASD(RA).

5.1.4.4. The Deputy Under Secretary of Defense for Program Integration (DUSD(PI)).

5.1.4.5. The Deputy Under Secretary of Defense for Readiness.

5.1.4.6. The Deputy Under Secretary of Defense for Plans, through the PDUSD(P&R).

5.1.4.7. The Deputy Under Secretary of Defense (Military Personnel Policy), through the PDUSD(P&R).

5.1.4.8. The Deputy Under Secretary of Defense (Civilian Personnel Policy), through the PDUSD(P&R).

5.1.4.9. The Deputy Under Secretary of Defense (Military Community and Family Policy), through the PDUSD(P&R).

5.1.4.10. The Deputy Under Secretary of Defense (Equal Opportunity), through the PDUSD(P&R).

5.1.4.11. The Director, Defense Commissary Agency, through the PDUSD(P&R).

5.1.4.12. The Director, DoD Education Activity, through the PDUSD(P&R).

5.1.4.13. The Director, Defense Human Resources Activity, through the DUSD(PI).

5.1.4.14. The Director, TRICARE Management Activity, through the ASD(HA).

5.1.4.15. The President of the Uniformed Services University of the Health Sciences, through the ASD(HA).

5.1.4.16. The Reserve Forces Policy Board (RFPB), through the ASD(RA), on matters other than the content of the Board's advice to the Secretary of Defense.

5.1.4.17. The National Committee for Employer Support of the Guard and Reserve, through the ASD(RA).

5.2. The PDUSD(P&R) shall serve as the principal assistant to the USD(P&R) in carrying out the authorities, responsibilities, and functions of the USD(P&R) as specified in DoD Directive 5124.8 (Reference (k)).

5.3. The other OSD officials and the Heads of the DoD Components shall coordinate with the USD(P&R) on all matters related to the authorities, responsibilities, and functions assigned in this Directive.

6. AUTHORITIES

The USD(P&R) is hereby delegated authority to:

6.1. Establish and allocate civilian personnel authorizations of the DoD Components and review and approve military and civilian personnel authorization changes during program execution.

6.2. Exercise the authorities of the Secretary of Defense, whenever vested, relating to civilian personnel, whether established by law, regulation, or other action.

6.3. Promulgate in DoD Instructions, DoD policy within the authorities and responsibilities assigned herein, including authority to identify collateral responsibilities of OSD officials and the Heads of DoD Components. Such Instructions shall be fully coordinated in accordance with DoD 5025.1-M (Reference (1)). Further, in areas of assigned responsibilities and functions, has authority to issue other DoD Instructions, DoD Publications, and one-time directive-type memoranda, consistent with Reference (1), which implement policy approved by the Secretary of Defense. Instructions to the Military Departments shall be issued through the Secretaries of the Military Departments. Instructions to Combatant Commands shall be communicated through the Chairman of the Joint Chiefs of Staff.

6.4. Obtain reports and information consistent with DoD Directive 8910.1 (Reference (m)), as necessary, to carry out assigned responsibilities and functions.

6.5. Communicate directly with the Heads of the DoD Components, as necessary to carry out assigned responsibilities and functions, including the transmission of requests for advice and assistance. Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments, their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances. Communications to the Commanders of the Combatant Commands normally shall be transmitted through the Chairman of the Joint Chiefs of Staff.

6.6. Communicate with other Government officials, representatives of the Legislative Branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities and functions. Communications with representatives of the Legislative Branch shall be coordinated with the Assistant Secretary of Defense for Legislative Affairs or the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense, as appropriate, and be consistent with the DoD Legislative Program.

6.7. Exercise the authorities of the Secretary of Defense to set bonuses and special and incentive pays under Reference (a) and 37 U.S.C. (Reference (n)).

6.8. Exercise the delegations of authority in Enclosure 2.

7. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink that reads "Gordon England". The signature is written in a cursive, flowing style.

Gordon England

Enclosures - 2

- E1. References, continued
- E2. Delegations of Authority

E1. ENCLOSURE 1

REFERENCES, continued

- (e) DoD Directive 3200.15, "Sustainment of Ranges and Operating Areas (OPAREAs)," January 10, 2003
- (f) DoD Directive 7730.65, "Department of Defense Readiness Reporting System (DRRS)," June 3, 2002
- (g) Section 1402 of title 5, United States Code
- (h) Sections 1901-1912 of title 50, United States Code
- (i) DoD Directive 5101.1, "DoD Executive Agent," September 3, 2002
- (j) DoD Directive 8320.2, "Data Sharing in a Net-Centric Department of Defense," December 2, 2004
- (k) DoD Directive 5124.8, "Principal Deputy Under Secretary of Defense for Personnel and Readiness (PDUSD(P&R)), July 16, 2003
- (l) DoD 5025.1-M, "DoD Directives System Procedures," March 5, 2003
- (m) DoD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993
- (n) Title 37, United States Code

E2. ENCLOSURE 2

DELEGATIONS OF AUTHORITY

The USD(P&R) is hereby delegated authority to:

E2.1.1. Reissue, as necessary, DoD Directive 5124.8 to update the responsibilities, functions, relationships, and authorities of the PDUSD(P&R), consistent with section 136a of 10 U.S.C. In doing this, provide the PDUSD(P&R) authority, within assigned areas of responsibility, to issue instructions and communications to a Military Department, through the Secretary of the Military Department concerned.

E2.1.2. Reissue, as necessary, DoD Directive 5136.1 and DoD Directive 5125.1 to update the responsibilities, functions, relationships, and authorities of the ASD(HA) and the ASD(RA), consistent with section 138 of 10 U.S.C. In doing this, exercise the authority of the Secretary of Defense under section 138(c) of 10 U.S.C., to delegate to those Assistant Secretaries authority, within the Assistant Secretaries' respective assigned areas of responsibility, to issue instructions and communications to a Military Department, through the Secretary of the Military Department concerned. Act for the Secretary of Defense, in accordance with section 115 of 10 U.S.C., regarding increasing the authorized end strength for Reserve personnel. The USD(P&R) may redelegate this authority to the ASD(RA).

E2.1.3. Exercise the authorities of the Secretary of Defense under sections 176 and 1471 of 10 U.S.C. regarding the Armed Forces Institute of Pathology (AFIP). The USD(P&R) may redelegate this authority to the ASD(HA). The AFIP shall continue as a joint entity of the Military Departments, subject to the authority, direction, and control of the ASD(HA). The designation of the Secretary of the Army as the DoD Executive Agent for administrative support to the AFIP shall remain in effect until revoked or superseded by the Secretary of Defense.

E2.1.4. Establish policy for, and oversee the operations of, the DoD Medical Examination Review Board (DODMERB). The DODMERB shall continue as a joint agency of the Military Departments, attached to the Air Force for administration and logistics support and operate under the policy direction of the ASD(HA). The designation of the Secretary of the Air Force as the DoD Executive Agent for administrative and logistic support to the DODMERB shall remain in effect until revoked or superseded by the Secretary of Defense.

E2.1.5. Establish policy for the Senior Readiness Oversight Council (SROC). The SROC shall continue to advise the Secretary of Defense on matters pertaining to DoD readiness, oversee readiness-related activities, provide recommendations to the Secretary of Defense on readiness policy matters, and provide reports on current and projected readiness issues. The Deputy Secretary of Defense shall continue as the Chair, SROC.

E2.1.6. Establish policy for, and oversee the operations of, the RFPB. The mission, functions, membership, relationships, and administration of the RFPB shall be consistent with sections 113(c)(3) and 10301 of 10 U.S.C. The USD(P&R) may redelegate this authority to the ASD(RA).

E2.1.7. Establish policy for participation in armed forces, national, and international sports activities. A Senior Military Sports Advisor may continue to be assigned for a 4-year term (terminating at the completion of each Summer Olympiad) to a Military Service Personnel Chief (or his or her designated flag/general officer representative) on a rotation basis among the Military Services in the following order: Marine Corps, Army, Air Force, and Navy. An Armed Forces Sports Committee (AFSC) may continue to be comprised of the Morale, Welfare, and Recreation Directors of each of the Military Services, or their designated representatives, and to act for the Department of Defense on matters pertaining to sports involving more than one Military Service. The Secretary of the Army may continue to provide administrative support to the AFSC Secretariat.

E2.1.8. Establish policy for and administer the Federal Voting Assistance Program for the Presidential designee, the Secretary of Defense. Act for the Presidential designee to coordinate and implement actions that may be necessary to discharge Federal responsibilities assigned in section 1973ff of 42 U.S.C. Manage, coordinate, and perform the responsibilities assigned to the Presidential designee in section 1973ff of 42 U.S.C. Be the sole Federal Executive Branch representative for obtaining, from each State, current voting information and disseminating it to other Federal Executive Departments, Agencies, and the DoD Components pursuant to section 1973ff of 42 U.S.C.

E2.1.9. Exercise the authorities of the Secretary of Defense under section 1973gg et seq. of 42 U.S.C. regarding the National Voter Registration Act.

E2.1.10. Exercise the authority of the Secretary of Defense under Subchapters I and II of Chapter 147 of 10 U.S.C. regarding the Defense Commissary and Exchange Systems, with the exception of the authority under section 2488(e), which is delegated to the Under Secretary of Defense (Comptroller), and the authority of the Secretary of Defense concerning the governing board under section 2485(c)(3). The USD(P&R) is designated as the DoD senior official to oversee operation of both the Defense Commissary System and the Defense Exchange System.

E2.1.11. Exercise the authority of the Secretary of Defense under section 1034(g) of 10 U.S.C. regarding review of final decisions of the Secretaries of the Military Department concerned on applications for correction of military records decided under Military Whistleblower Protection procedures. The USD(P&R) may redelegate this authority to the DUSD(PI).